

Simons Institute for the Theory of Computing, UC Berkeley

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Researcher

# Academic Appointments

# Simons Institute for the Theory of Computing, UC Berkeley

Berkeley, United States

2023 - Current

- Member of the Collaboration on the Theoretical Foundations of Deep Learning. Hosted by Bin Yu and Peter Bartlett.
- Investigating statistical properties of large scale neural networks (large width, large depth, etc).
- Developing scalable efficient learning methods for the pretraining and finetuning of large models.

### National University of Singapore

Singapore

Peng Tsu Ann Assistant Professor of Mathematics (On Leave)

2021 - Current

- Led multiple research projects on neural network scaling.
- Taught core ML courses at the graduate level: Principles of Machine Learning (DSA5105, 100+ students), Advanced Topics in Machine Learning (DSA5202).

### University of Oxford

Oxford, United Kingdom

Class Tutor and Teaching Assistant

2018 - 2020

- Advanced simulation methods (Undergraduate level).
- MCMC and Diffusions methods (Graduate level).

## Education

### University of Oxford

Oxford, United Kingdom

2017 - 2021

PhD in Machine Learning & Statistics Advised by Arnaud Doucet and Judith Rousseau.

 $\circ\,$  Thesis: Wide Deep Neural Networks.

#### Pierre et Marie Curie University (Paris VI)

Paris, France

MSc. in Probability and Financial Mathematics with Distinction.

2016 - 2017

### Ecole Polytechnique

Paris, France

BSc and MSc in Applied Mathematics and Engineering Diploma with Distinction.

2013 - 2017

## Research Interests

# Theory and Methods:

- Large scale neural networks: stability, learning dynamics, etc.
- Efficient Learning at Scale: efficient algorithms for the pretraining and finetuning of large models.
- Algorithms for generating and filtering synthetic data.
- Model and data compression (pruning).
- o Different aspects of Large Language Models (LLMs), particularly Hallucination and Privacy.
- Retrieval-Augmented Generation (RAG) (see EduBoost project below).

## **Applications:**

- AI applications in Life Sciences, Decision Science, and Education (see EduBoost project below).
- Trustworthy AI Agents.

## Projects' highlights:

• Inventor of Stable ResNet: A modified architecture enhancing stability in very deep neural networks, contributing to improved training of such models.

- $\circ$  Co-Inventor of Depth- $\mu$ P: An innovative technique for scaling the number of layers in neural networks (depth version of  $\mu$ P).
- Inventor of LoRA+: An advanced method for efficient low-rank adaptation of large models, currently integrated into HuggingFace's PEFT package and LLamaFactory.
- Creator of "EduBoost": An AI teaching assistant designed to support course instructors. It digests course content and provides students with answers to their questions.

# Publications and Preprints

- ❖ Yoonsoo Nam, Chris Mingard, Seok Hyeong Lee, **Soufiane Hayou**, Ard Louis. "Visualising Feature Learning in Deep Neural Networks by Diagonalizing the Forward Feature Map". (Submitted, 2024).
- ❖ Soufiane Hayou, Nikhil Gosh, Bin Yu. "The Impact of Initialization on LoRA Finetuning Dynamics". In: Neural Information Processing Systems (NeurIPS 2024).
- ♦ Soufiane Hayou\*, Nikhil Gosh\*, Bin Yu. "LoRA+: Efficient Low Rank Adaptation of Large Models". In: International Conference on Machine Learning (ICML 2024). (\*equal contribution).
- ♦ Soufiane Hayou. "WD(II): Commutative Width and Depth Scaling in Deep Neural Networks". 2024.

  In: Journal of Machine Learning Research (JMLR).
- ♦ Greg Yang, Dingli Yu, Chen Zhu, **Soufiane Hayou**. "Tensor Programs VI: Feature Learning in Infinite Depth Neural Networks". In: *International Conference on Learning Representations* (ICLR 2024).
- ♦ Jiayuan Ye, Anastasia Borovykh, **Soufiane Hayou**, Reza Shokri. "Leave-one-out Distinguishability in Machine Learning". In: *International Conference on Learning Representations* (ICLR 2024).
- ♦ Mohamed El Amine Seddik, Suei-Wen Chen, Soufiane Hayou, Pierre Youssef, Merouane Debbah. "How Bad is Training on Synthetic Data? A Statistical Analysis of Language Model Collapse". In: Conference on Language Modelling (COLM 2024).
- ♦ Soufiane Hayou, Greg Yang. "WD(I): Width and Depth Limits commute in Residual Networks". In: International Conference on Machine Learning (ICML 2023).
- ♦ Fadhel Ayed\*, Soufiane Hayou\*. "Data Pruning and Neural Scaling Laws: Fundamental Limitations of Score-based Algorithms". 2022. In: Transactions on Machine Learning Research (TMLR). (\*equal contribution, alphabetical order).
- ♦ Soufiane Hayou. "On the Infinite-depth Limit of Finite-width Neural Networks". 2022. In: Transactions on Machine Learning Research (TMLR).
- ❖ Yizhang Lou, Chris Mingard, Yoonsoo Nam, Soufiane Hayou. "Feature Learning and Signal Propagation in Deep Neural Networks". In: International Conference on Machine Learning (ICML 2022, Spotlight).
- ♦ Fusheng Liu, Qianxiao Li, Soufiane Hayou, Haizhao Yang. "From Optimization Dynamics to Generalization Bounds via Lojasiewicz Gradient Inequality". 2022. In: Transactions on Machine Learning Research (TMLR).
- ❖ Soufiane Hayou, Fadhel Ayed. "Regularization in ResNet with Stochastic Depth". In: Neural Information Processing Systems (NeurIPS 2021).
- ♦ Soufiane Hayou, Bobby He, Gintare Karolina Dziugaite. "Probabilistic Fine-tuning of Pruning Masks

- and PAC-Bayes Self-bounded Learning". (2021, arXiv).
- ❖ Soufiane Hayou, Jean-Francois Ton, Arnaud Doucet, Yee Whye Teh. "Robust Pruning at Initialization". In: International Conference on Learning Representations (ICLR 2021).
- ♦ Soufiane Hayou\*, Eugenio Clerico\*, Bobby He\*, George Deligiannidis, Arnaud Doucet, Judith Rousseau. "Stable ResNet". In: *The International Conference on Artificial Intelligence and Statistics* (AISTATS 2021, Oral presentation). (\*equal contribution).
- ♦ Soufiane Hayou, Arnaud Doucet, Judith Rousseau. "On the Impact of the Activation function on Deep Neural Networks Training". In: International Conference on Machine Learning (ICML 2019).
- ♦ Soufiane Hayou, Arnaud Doucet, Judith Rousseau. "Mean-field Behaviour of Neural Tangent Kernel for Deep Neural Networks". (2020, ArXiv).

## $\rightarrow$ Workshop papers:

- ❖ Greg Yang, Dingli Yu, Chen Zhu, Soufiane Hayou. "Feature Learning in Infinite Depth Neural Networks". In: NeurIPS 2023 Workshop on Mathematics of Modern Machine Learning (M3L). Full paper published at ICLR 2024.
- ❖ Fadhel Ayed\*, Soufiane Hayou\*. "Data Pruning and Neural Scaling Laws: Fundamental Limitations of Score-based Algorithms". In: ICML 2024 Workshop on Data-Centric Machine Learning Research. (\*equal contribution, alphabetical order). Full paper published at Transactions on Machine Learning Research (TMLR).
- ♦ Fadhel Ayed, **Soufiane Hayou**. "The curse of (non)convexity: The case of an Optimization-Inspired Data Pruning algorithm". In: NeurIPS 2022 ICBINB workshop.
- ❖ Soufiane Hayou, Arnaud Doucet, Judith Rousseau. "The Curse of Depth in Kernel Regime". In: NeurIPS 2021 ICBINB workshop (Spotlight). Also published as part of the workshop volume at Proceedings of Machine Learning Research (PMLR).
- ♦ Soufiane Hayou, Bobby He, Gintare Karolina Dziugaite. "Stochastic Pruning: Fine-Tuning, and PAC-Bayes Bound Optimization". In: NeurIPS 2021 Bayesian Deep Learning Workshop.

## $\rightarrow$ Notes and Technical Reports:

Instructor

- ♦ Soufiane Hayou. "On the Connection Between Riemann Hypothesis and a Special Class of Neural Networks". (2023, arXiv).
- **♦ Soufiane Hayou**. "On the Overestimation of the Largest Eigenvalue of a Covariance Matrix". (2017, Bloomberg).
- ♦ Soufiane Hayou. "Cleaning the Correlation Matrix with a Denoising AutoEncoder". (2017, Bloomberg).

# —— Teaching Experience

## Department of Mathematics, National University of Singapore

• Principles of Machine Learning (DSA5105, graduate level, 100+ enrolled students).

• Advanced Topics in Machine Learning (DSA5202, graduate).

2021-2023

### Department of Statistics, University of Oxford

Class Tutor / Teaching Assistant

- Advanced Simulation Methods (SC5, undergraduate).
- Bayesian Inference and MCMC methods (SC7, graduate).

## Awards and Honors

- 2024: Gradient AI Fellowship (\$50k in cloud credits, one awarded from over 1000 applications).
- o 2023: Google Cloud TPU Credits Award (\$10k in cloud credits).
- 2023: Selected as a Rising Star in AI by KAUST.
- 2022: Top Reviewer at NeurIPS.
- 2022: SlowDNN Workshop Travel Grant (\$1.5k).
- 2021: Institute of Mathematical Science (NUS) Travel Award (\$5k).
- 2019: James Fund for Mathematics Travel Grant (£2k, one of two awarded campus-wide from over 100 applications).
- 2019: ICML 2019 Student Travel Award (\$1.5k).
- 2018: Natixis Best Master Thesis in Quantitative Finance (€10k, one of two awarded from over 1000 submissions).
- $\circ$  2017 2021: ESPRC Fellowship Grant ( $\sim £110$ k).
- $\circ$  2017 2021: RCUK Fellowship Grant ( $\sim$  £42k, awarded by St John's College).
- 2013 2017: Egide Grant (€100k, one of twenty awarded by the French Ministry of Foreign Affairs from over 1000 applications).
- 2011: Maroc Telecom Excellence Grant (€20k, awarded to top 1% students in Morocco).
- o 2011: Moroccan Government Excellence Scholarship (€10k).

## Selected Invited Talks

- o August 2024: Nvidia Research, San Francisco.
- May 2024: ThinkAI Hackathon, UM6P, Marrakesh.
- o March 2024: AI Seminar, Uber, San Francisco.
- o February 2024: AI Seminar, MBZUAI, Abu Dhabi.
- o October 2023: MoDL Seminar, Simons Institute, UC Berkeley.
- June 2023: FLAIR Seminar, EPFL, Switzerland.
- May 2023: Morocco AI Webinar (virtual).
- o March 2023: Nvidia Research, Hong Kong.
- $\circ\,$  February 2023: AI Seminar at Technology Innovation Institute, Abu Dhabi.
- o January 2023: One World Seminar Series on the Mathematics of Machine Learning (virtual).
- December 2022: Computational and Methodological Statistics (CMStatistics 2022), London.
- o November 2022: Rough Paths Seminar, The Alan Turing Institute, London.
- o September 2022: 3rd Symp on Machine Learning and Dynamical Systems, Fields Institute, Toronto.
- o May 2022: Abu Dhabi Stochastics Seminar, Department of Mathematics, NYU Abu Dhabi.
- Feb 2022: CDSML Seminar Series, National University of Singapore.
- o Dec 2021: Seminar Series, Department of Statistics, University of Toronto (virtual).

2018-2020

o Nov 2020: Department of Statistics Seminar, University of Oxford.

# Academic Services

- Reviewing for conferences: NeurIPS, ICML, ICLR, AISTATS, UAI.
- Reviewing for journals: Journal of Machine Learning Research (JMLR), Transactions on Machine Learning Research (TMLR), Journal of Computational and Graphical Statistics, Bernoulli.
- Co-organizer: Machine Learning and its Applications (2022, Institute for Mathematical Science (NUS) and Simons Institute for the Theory of Computing), Deep learning reading group (2018-2020, Oxford statistics).

# Industry Experience

G-Research London, UK

Quantitative Research Intern

July 2019 - September 2019

• Developed deep learning models for the estimation of the correlation matrix and robust portfolio optimization.

Bloomberg LP New York, USA

Quantitative Research Intern

March 2017 - August 2017

- $\circ~$  Developed scalable ML algorithms for financial data analysis.
- Conducted research on the empirical correlation matrix.
- $\circ~$  Developed a Denoising Autoencoder model for the estimation of the correlation matrix.

J.P. Morgan London, UK

Quantitative Research Intern

April 2016 - September 2016

- Calibrated a Stochastic model for interest rate products.
- $\circ\,$  Designed an Initial Margin pricer for interest rate swaps.

# — Technical Skills

#### Coding

- Python packages: Utils (Numpy, Scipy, Pandas etc.)
- Deep Learning: PyTorch (6+ years), HuggingFace Transformers (2+ years), LangChain (1+ years), RAG (vectorDB)
- o Cloud Platforms: AWS, Google Cloud

### Languages

Moroccan: native
 French: bilingual
 Spanish: beginner

• Arabic: native • English: fluent